

PTO/SB/01 (10-05)

Approved for use through 07/31/2006. OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
With Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket
Number

033327.0024

First Named Inventor

Guest, et al.

COMPLETE IF KNOWN

Application Number

10/539,461 (PCT/IB03/05665)

Filing Date

06/20/2005 (12/22/2003)

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Payment System

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

06/20/2005

as United States Application Number or PCT International

Application Number

10/539,461

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application ()	Country	Foreign Filing Date (/DD/)	Priority Claimed	Certified Copy Attached?	
				ES	NO
PCT/IB03/05665	PCT	12/22/2003	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
GB0229765.3	UK	12/20/2002	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent ApplicationDirect all
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Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

John

Guest

Inventor's Signature

Date

Residence: City

State

Country

Citizenship

Fareham

Hants

UK

UK

Mailing Address

Tregarth, 5 Old Street, Hill Head

City

State

Zip

Country

Fareham

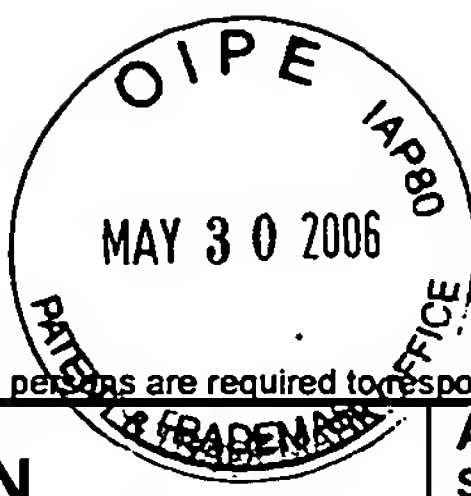
Hants

PO14 3HU

UK



Additional inventors or a legal representative are being named on the _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



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PTO/SB/02A (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Brian		Tagg	
Inventor's Signature		Date	
Taunton Residence: City	Somerset State	UK Country	UK Citizenship
The Old Bakery, Cheddon Fitzpaine			
Mailing Address			
Taunton City	Somerset State	TA2 8LN Zip	UK Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Guest et al.

Box: MISSING PARTS

Serial No. 10/539,461

International Application No.
PCT/IB03/05665

International Filing Date
December 22, 2003

For: PAYMENT SYSTEM

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR
SIGNATURE BY PERSON WITH SUFFICIENT PROPRIETARY INTEREST ON
BEHALF OF NON-SIGNING INVENTORS WHO CANNOT BE REACHED THROUGH
DILIGENT EFFORT (37 C.F.R. 1.47(B))**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The undersigned, serving in the capacity indicated, hereby declares that he/she is authorized by the following entity with sufficient proprietary interest in the above identified invention and application: GTECH Global Services Corporation, Ltd., a corporation duly organized and validly existing under the laws of Cyprus with a principal address at 27 Gregory Afxentiou Avenue, 6021 Larnaca, Cyprus; and that, by virtue of this proprietary interest, he/she hereby signs this declaration on behalf of, and as agent for:

John Guest and Brian Tagg, who are non-signing inventors who cannot be found or reached. The inventors are English citizens whose last known addresses are as follows:

- (1) John Guest, Tregarth, 5 Old Street, Hill Head, Fareham, Hants PO14 3HU, UK
- (2) Brian Tagg, The Old Bakery, Cheddon Fitzpaine, Taunton, Somerset TA2 8LN, UK

The undersigned further declares that the non-signing inventors are former employees of Inca Payments, Ltd. ("Inca"), a UK company acquired by the entity with sufficient proprietary

interest in the present matter, and upon information and belief, that he/she avers those facts that the inventor is required to state pursuant to 37 C.F.R. 1.64(b).

The undersigned hereby appoints the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Thomas F. Bergert, Registration No. 38,076
Williams Mullen
8270 Greensboro Drive, Suite 700
McLean, VA 22102

Please direct all correspondence to:

Thomas F. Bergert
Williams Mullen
8270 Greensboro Drive, Suite 700
McLean, VA 22102

Accompanying this declaration is (1) a statement of facts in support of filing on behalf of the non-signing inventors, and (2) a statement by the entity signing on behalf of the non-signing inventors establishing the entity's proprietary interest, to establish the proof of pertinent facts, and to show that such action is necessary to preserve the rights of the parties or to prevent irreparable damage, (3) the petition fee of \$130.00, and (4) a petition for extension of time and the fee of \$2,160 for five (5) months extension of time.

DECLARATION

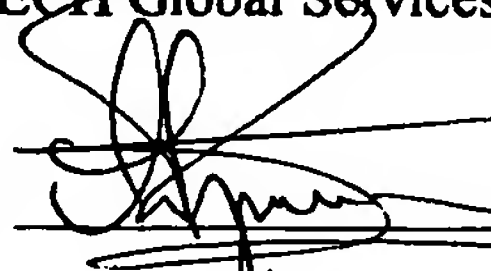
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

5/29/06

GTECH Global Services Corporation, Ltd.

By:

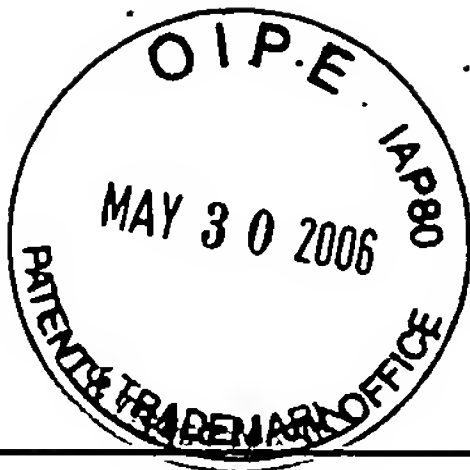


Printed Name: ANNITA PAPAKYRIACOU

Title:

DIRECTOR

#1256720



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 16, 2006

PTAS



103115601A

THOMAS F. BERGERT
8270 GREENSBORO DRIVE, SUITE 700
MCLEAN, VA 22102

Entered in Patent Docket
By: lg Date: 2/28/06
Action due: _____
Williams Mullen

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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 06/20/2005

REEL/FRAME: 017179/0278

NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

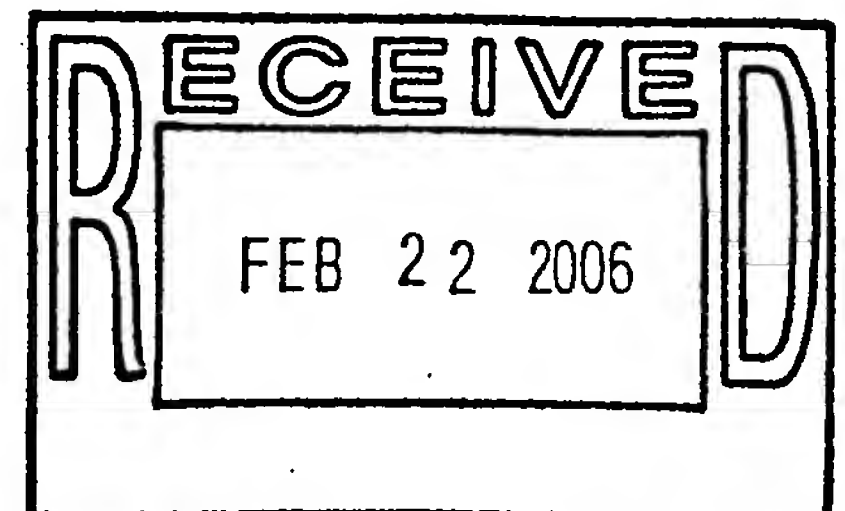
ASSIGNOR:
GUEST, JOHN

DOC DATE: 06/24/2003

ASSIGNOR:
TAGG, BRIAN

DOC DATE: 06/24/2003

ASSIGNEE:
INCA PAYMENTS LIMITED
LINK HOUSE, 19 COLONIAL WAY,
WATFORD
HERTFORDSHIRE WD24 4JL
UNITED
KINGDOM



SERIAL NUMBER: 10539461
PATENT NUMBER:
TITLE: PAYMENT SYSTEM

FILING DATE:
ISSUE DATE:

017179/0278 PAGE 2

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION



10/539461

Form PTC-1595 (Rev. 03/05)
OMB No. 0651-0027 (exp. 6/30/2005)

11-08-2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103115601

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John Guest -06/24/2003
Brian Tagg - 06/24/2003

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 06/24/2003

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Inca Payments Limited

Internal Address:

Street Address: Link House, 19 Colonial Way, Watford,

City: Hertfordshire WD24 4JL

State:

Country: United Kingdom

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Thomas F. Bergert

Internal Address:

Street Address: 8270 Greensboro Drive, Suite 700

City: McLean

State: VA

Zip: 22102

Phone Number: 703-760-5200

Fax Number: 703-748-0244

Email Address: tbergert@williamsmullen.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers
Expiration Date

b. Deposit Account Number 50-0766

Authorized User Name Thomas F. Bergert

9. Signature:

Thomas F. Bergert
Signature

June 20, 2005

Date

Thomas F. Bergert
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

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Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
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